# IAP6 Rec'd PCT/PTO 0 7 JUN 2007

PTO-1390 (Rev. 09-2006)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES			ATTORNEY'S DOCKET NUMBER 2400.0720000/VLC/BSA		
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		
			10/591,128		
	TIONAL APPLICATION NO. PCT/EP2005/002294	INTERNATIONAL FILING DATE 4 March 2005 (04.03.2005)	PRIORITY DATE CLAIMED 6 March 2004 (06.03.2004)		
TITLE OF	Oil-Based Susper	nsion Concentrates			
APPLICAN	IT(S) FOR DO/EO/US FISCHER	et al			
Applicant			O/US) the following items and other information:		
1.	This is a <b>FIRST</b> submission of items co	ncerning a submission under 35 U.S.C. 371			
2. X	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.				
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. [items 5, 6, 21 submitted 30 Aug. 2006]				
4. 🔲 ·	The US has been elected (Article 31).				
5.	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))			
	a. is attached hereto (required	only if not communicated by the Internation	nal Bureau).		
	b.  has been communicated by	the International Bureau.			
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).				
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).				
	a. is attached hereto.				
	b. has been previously submitted under 35 U.S.C. 154(d)(4).				
7.	Amendments to the claims of the Inte	rnational Application under PCT Article 19 (	35 U.S.C. 371(c)(3))		
	a. are attached hereto (required only if not communicated by the International Bureau).				
	b.  have been communicated by the International Bureau.				
	c. have not been made; however, the time limit for making such amendments has NOT expired.				
	d. have not been made and will not be made.				
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9. X	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 2 pgs.				
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
Items 11 to 20 below concern document(s) or information included:					
11.	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.				
12. 🔲	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.				
13. 🗀	A preliminary amendment.				
14. 🔲	An Application Data Sheet under 37 CFR 1.76.				
15.	A substitute specification.				
16. 🔲	A power of attorney and/or change of address letter.				
17. 🔲	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.				
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).				
19. 🔲	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLI	CATION NO. (if know $10/591,12$	•	INTERNATIONAL AF		ATTORNEY'S DO 2400.072000	
1) Copy o	her items or informa	tion: of Missing Re	equirements; and		1	
The	following fees have	been submitted			CALCULATIONS	PTO USE C
21. 🔲 E	Basic national fee (3	7 CFR 1.492(a))	•••••••••••••••••••••••••••••••••••••••	\$300	\$	
22. 🔲 E	22. Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations					
by IP					\$	
If the written of IPEA Search fee (3 International interna					\$	
	TOTAL OF 21,	22 and 23 =				
listing	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) in an electronic medium or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each a	dditional 50 or fraction o to a whole number)	RATE		
- 100	= /50 :	=		x \$250	\$	l —
		ng any of the search of the national stage	fee, examination fee, or the (37 CFR 1.492(h)).	oath or declaration	\$ 130.00	
CLAIM	s NUM	BER FILED	NUMBER EXTRA	RATE	\$	
Total cla	ms	- 20 =		x \$50	\$	
Independent	claims	- 3 =		× \$200	\$	
MULTIPLE D	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$:		+ \$360	\$		
				CALCULATIONS =	\$	
Applican	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL =					
				\$ 130.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). +  TOTAL NATIONAL FEE =			\$			
			\$			
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +  TOTAL FEES ENCLOSED =			\$		
INVENEU ANA				\$ 130.00		
min non vovo					Amount to be refunded:	\$
	130.0	<del>0 8P</del>			Amount to be	1
					charged	\$

			•			
а. 🗌	A check in the amount of \$		to cover the above fees is	enclosed.		
ь. 🗆	Please charge my Deposit Accou A duplicate copy of this sheet is a		_ in the amount of \$	to cover the above fees.		
c. 🔀	The Commissioner is hereby auth Account No. 19-0036. A dup	orized to charge any olicate copy of this sl	y additional fees which may be heet is enclosed.	e required, or credit any overpayme	nt to Deposit	
d. X	Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038. The PTO-2038 should only be mailed or faxed to the USPTO. However, when paying the basic national fee, the PTO-2038 may NOT be faxed to the USPTO.					
	<b>ADVISORY</b> : If filing by EFS-Web, do <b>NOT</b> attach the PTO-2038 form as a PDF along with your EFS-Web submission. Please be advised that this is <b>not</b> recommended and by doing so your <b>credit card information may be displayed via PAIR</b> . To protect your information, it is recommended paying fees online by using the electronic payment method.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
			.1	4		
SEND A	LL CORRESPONDENCE TO:		Và	A1. (3 -3.		
CU	JSTOMER NUMBER	26111	SIG	SNATURE Vincent L. Capuan		
			NA			
				42,385		
			RE	GISTRATION NUMBER		
					683904	





#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFAUREMENT OF COMMIT United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginis 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

10/591,128

Reiner Fischer

2400.07200000/SRL

INTERNATIONAL APPLICATION NO.

PCT/EP05/02294

I.A. FILING DATE

PRIORITY DATE

03/04/2005

03/06/2004

26111 STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005

**CONFIRMATION NO. 7332 371 FORMALITIES LETTER** \*OC000000023483269\*

Date Mailed: 04/23/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/30/2006
- English Translation of the IA filed on 08/30/2006
- Copy of the International Search Report filed on 08/30/2006
- U.S. Basic National Fees filed on 08/30/2006
- Priority Documents filed on 08/30/2006

APR 2 3 2007 Sterne, Kessler, Goldstein & Fox P.L.L.C. BSA

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,

STAT BAR due Alamaker 23,2007

## WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

#### PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/591,128	PCT/EP05/02294	2400.07200000/SRL

FORM PCT/DO/EO/905 (371 Formalities Notice)